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27623 7590 04/20/2007

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/709,129 04/15/2004 Huihong Zhu FIS920030413 3128

TITLE OF INVENTION: METHODS FOR MANUFACTURING A FINFET USING A CONVENTIONAL WAFER AND APPARATUS MANUFACTURED THEREFROM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	07/20/2007
EXAMINER	ART UNIT	CLASS-SUBCLASS	07/23/2007 RUCNDP2 00000000 058458 10709129			
HOANG, QUOC DINH	2818	438-142000	01 FC:1501	1400.00 DA		
			02 FC:1504	300.00 DA		

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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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- 1 Ohlandt, Greeley, Ruggiero
& Perle, L.L.P.
2
3 Joseph P. Abate, Esq.
IBM Corporation

ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

International Business Machines Corporation

Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Charles N.J. Ruggiero
Typed or printed name Charles N.J. Ruggiero

Date July 20, 2007
Registration No. 28,468

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT TRANSMITTAL FORM

Applicant(s): Huilong Zhu

Serial No.: 10/709,129

Filed: April 15, 2004



For: METHODS FOR MANUFACTURING A FINFET USING A CONVENTIONAL
WAFER AND APPARATUS MANUFACTURED THEREFROM

Art Unit: 2818

Examiner: Quoc Dinh Hoang

Confirmation No.: 3128

Customer No.: 27623

Attorney Docket No.: FIS920030413

Mail Stop Issue Fee
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Respectfully submitted,

Charles N.J. Ruggiero

Reg. No. 28,468

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

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(203) 327-4500

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Date: July 20, 2007

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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING HAND-DELIVERED BY
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